

ROAD REPAIR AND ACCOUNTABILITY ACT OF 2017
PROJECT BASELINE AGREEMENT
RIV-10 Blythe Pavement Rehabilitation (08-1C082)

Resolution SHOPP-P-1920-04B
(will be completed by CTC)

1. FUNDING PROGRAM

- Active Transportation Program
- Local Partnership Program (Competitive)
- Solutions for Congested Corridors Program
- State Highway Operation and Protection Program
- Trade Corridor Enhancement Program

2. PARTIES AND DATE

2.1 This Project Baseline Agreement (Agreement) for the *RIV-10 Blythe Pavement Rehabilitation (08-1C082)*, effective on, JANUARY 29, 2020 (will be completed by CTC), is made by and between the California Transportation Commission (Commission), the California Department of Transportation (Caltrans), the Project Applicant, *Caltrans*, and the Implementing Agency, *Caltrans*, sometimes collectively referred to as the "Parties".

3. RECITAL

- 3.2 Whereas at its March 22, 2018 meeting the Commission approved the State Highway Operation and Protection Program, and included in this program of projects the *RIV-10 Blythe Pavement Rehabilitation (08-1C082)*, the parties are entering into this Project Baseline Agreement to document the project cost, schedule, scope and benefits, as detailed on the Project Programming Request Form attached hereto as Exhibit A and the Project Report attached hereto as Exhibit B, as the baseline for project monitoring by the Commission.
- 3.3 The undersigned Project Applicant certifies that the funding sources cited are committed and expected to be available; the estimated costs represent full project funding; and the scope and description of benefits is the best estimate possible.

4. GENERAL PROVISIONS

The Project Applicant, Implementing Agency, and Caltrans agree to abide by the following provisions:

- 4.1 To meet the requirements of the Road Repair and Accountability Act of 2017 (Senate Bill [SB] 1, Chapter 5, Statutes of 2017) which provides the first significant, stable, and on-going increase in state transportation funding in more than two decades.
- 4.2 To adhere, as applicable, to the provisions of the Commission:
 - Resolution *Insert Number*, "Adoption of Program of Projects for the Active Transportation Program", dated
 - Resolution *Insert Number*, "Adoption of Program of Projects for the Local Partnership Program", dated
 - Resolution *Insert Number*, "Adoption of Program of Projects for the Solutions for Congested Corridors Program", dated
 - Resolution *Insert Number*, "Adoption of Program of Projects for the State Highway Operation and Protection Program", dated March 22, 2018
 - Resolution *Insert Number*, "Adoption of Program of Projects for the Trade Corridor Enhancement Program", dated

- 4.3 All signatories agree to adhere to the Commission's State Highway Operation and Protection Program, Guidelines. Any conflict between the programs will be resolved at the discretion of the Commission.
- 4.4 All signatories agree to adhere to the Commission's SB 1 Accountability and Transparency Guidelines and policies, and program and project amendment processes.
- 4.5 Caltrans agrees to secure funds for any additional costs of the project.
- 4.6 Caltrans agrees to report on a quarterly basis; after July 2019, reports will be on a semi-annual basis on the progress made toward the implementation of the project, including scope, cost, schedule, outcomes, and anticipated benefits.
- 4.7 Caltrans agrees to prepare program progress reports on a quarterly basis; after July 2019, reports will be on a semi-annual basis and include information appropriate to assess the current state of the overall program and the current status of each project identified in the program report.
- 4.8 Caltrans agrees to submit a timely Completion Report and Final Delivery Report as specified in the Commission's SB 1 Accountability and Transparency Guidelines.
- 4.9 All signatories agree to maintain and make available to the Commission and/or its designated representative, all work related documents, including without limitation engineering, financial and other data, and methodologies and assumptions used in the determination of project benefits during the course of the project, and retain those records for four years from the date of the final closeout of the project. Financial records will be maintained in accordance with Generally Accepted Accounting Principles.
- 4.10 The Transportation Inspector General of the Independent Office of Audits and Investigations has the right to audit the project records, including technical and financial data, of the Department of Transportation, the Project Applicant, the Implementing Agency, and any consultant or sub-consultants at any time during the course of the project and for four years from the date of the final closeout of the project, therefore all project records shall be maintained and made available at the time of request. Audits will be conducted in accordance with Generally Accepted Government Auditing Standards.

5. SPECIFIC PROVISIONS AND CONDITIONS

5.1 Project Schedule and Cost

See Project Programming Request Form, attached as Exhibit A.

5.2 Project Scope

See Project Report or equivalent, attached as Exhibit B. At a minimum, the attachment shall include the cover page, evidence of approval, executive summary, and a link to or electronic copy of the full document.

5.3 Other Project Specific Provisions and Conditions

Attachments:

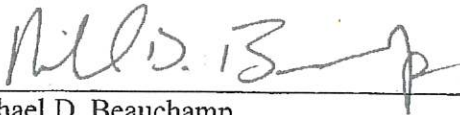
Exhibit A: Project Programming Request Form

Exhibit B: Project Report

SIGNATURE PAGE
TO
PROJECT BASELINE AGREEMENT


RIV 10 BLYTHE PAVEMENT REHAB: MAINLINE, SHOULDERS, RAMPS (08-1C082)

Resolution SHOPP-P-1920-04B



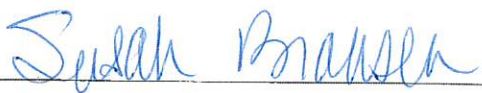
Michael D. Beauchamp
District Director
California Department of Transportation

12/9/2019
Date



Toks Omishakin
Director
California Department of Transportation

1/17/20
Date



Susan Bransen
Executive Director
California Transportation Commission

1/30/2020
Date

Baseline agreement information was extracted from Caltrans' project data systems. Project description, funding and performance measures are from CTIPS. Project delivery milestones are from PRSM. All information is current and accurate.

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

BASELINE AGREEMENT

Date: 12/13/19 11:41:42 AM

| District | EA | Project ID | | PPNO | Project Manager |
|----------|-------|----------------|--------------|---------------------|-----------------|
| 08 | 1C082 | 0816000087 | | 3008Y | OCHOA WILFREDO |
| County | Route | Begin Postmile | End Postmile | Implementing Agency | |
| RIV | 10 | R 104.9 | R 134.2 | PA&ED | Caltrans |
| | | | | PS&E | Caltrans |
| | | | | Right of Way | Caltrans |
| | | | | Construction | Caltrans |

Project Nickname

Riv 10 Blythe Pavement Rehab: mainline, shoulders, ramps

Location/Description

Near Blythe, from west of Rice Road/SR-177 to east of Teed Ditch Bridge. Cold plane mainline pavement and overlay with Portland Cement Concrete (PCC). The shoulders and ramps will be milled and overlaid with Hot Mix Asphalt (HMA-A). (G13 Contingency)

Legislative Districts

Assembly: 56 **Senate:** 28 **Congressional:** 36

PERFORMANCE MEASURES

| | Primary Asset | Good | Fair | Poor | New | Total | Units |
|----------------------|---------------|-------|------|------|-----|-------|------------|
| Existing Condition | Pavement | 85.2 | 29.9 | 0 | | 115.1 | Lane-miles |
| Programmed Condition | Pavement | 115.1 | 0 | 0 | 0 | 115.1 | Lane-miles |

Project Milestone

| | * Actual | Planned |
|---|----------|----------|
| Project Approval and Environmental Document Milestone | 11/15/19 | |
| Right of Way Certification Milestone | | 05/01/20 |
| Ready to List for Advertisement Milestone | | 06/01/20 |
| Begin Construction Milestone (Approve Contract) | | 05/03/21 |

FUNDING (Allocated amounts are shaded)

| Component | Fiscal Year | SHOPP | | | | Total |
|---------------|-------------|----------------|--|--|--|----------------|
| PA&ED | 17/18 | 16,900 | | | | 16,900 |
| PS&E | 19/20 | 2,000 | | | | 2,000 |
| RW Support | 19/20 | 153 | | | | 153 |
| Const Support | 19/20 | 37,500 | | | | 37,500 |
| RW Capital | 19/20 | 9,010 | | | | 9,010 |
| Const Capital | 19/20 | 282,810 | | | | 282,810 |
| Total | | 348,373 | | | | 348,373 |

Project Report For Project Approval

On Route 10

Between State Route 10/177 Separation at PM R104.9

And 1.05 miles w/o Wiley's Well Road Overcrossing at PM R134.0

I have reviewed the right of way information contained in this report and the Right of Way Data Sheet attached hereto, and find the data to be complete, current and accurate:

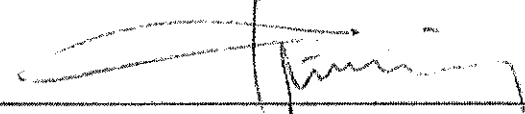

REBECCA GUIRADO, Deputy District Director, Right of Way

APPROVAL RECOMMENDED:


WIL OCHOA, Project Manager


DAVID BRICKER, Deputy District Director, Environmental Planning


JAMAL ELSALEH, Acting Deputy District Director, Design


CATALINO A. PINING III, Deputy District Director, Traffic Operations

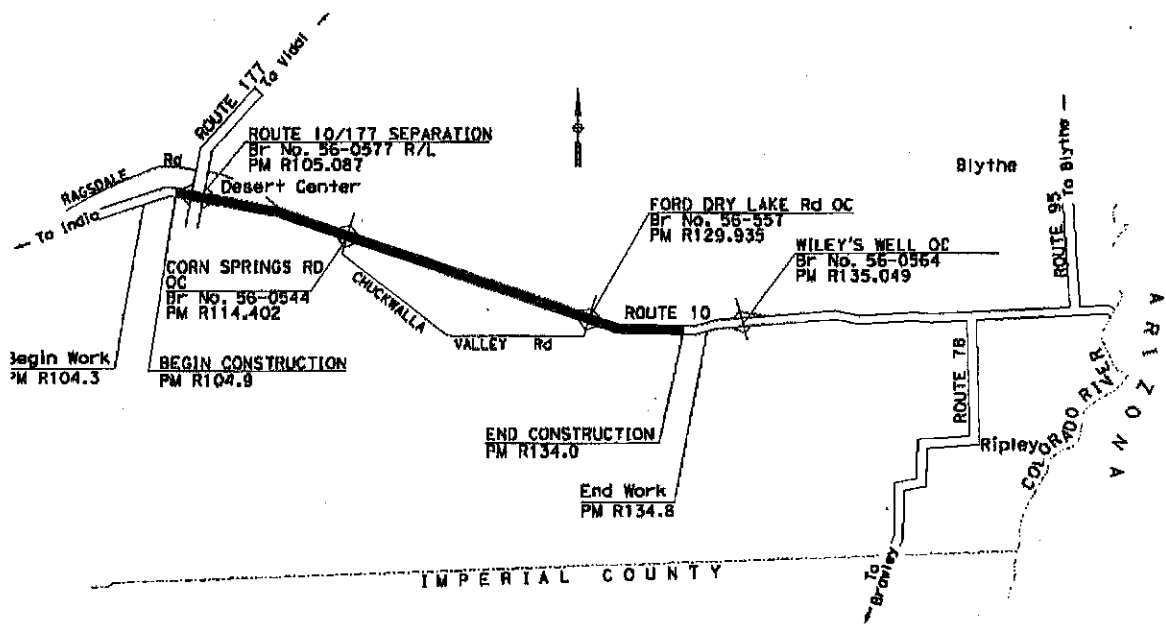
APPROVED:


MICHAEL D. BEAUCHAMP, District Director

11/15/2019
Date



Vicinity Map



On Route 10

Between State Route 10/177 Separation at PM R104.9

And 1.05 miles w/o Wiley's Well Road Overcrossing at PM R134.0

This report has been prepared under the direction of the following registered civil engineer. The registered civil engineer attests to the technical information contained herein and the engineering data upon which recommendations, conclusions, and decisions are based.


DIBORO KANABOLO, REGISTERED CIVIL ENGINEER

10-17-2019
DATE



Prepared By:



Fred Asef
Project Engineer
Design O