

ROAD REPAIR AND ACCOUNTABILITY ACT OF 2017  
PROJECT BASELINE AGREEMENT  
SOL-12 3R Roadway Rehabilitation (04-0J630)

Resolution SHOPP-P-1920-04B  
(will be completed by CTC)

**1. FUNDING PROGRAM**

- Active Transportation Program
- Local Partnership Program (Competitive)
- Solutions for Congested Corridors Program
- State Highway Operation and Protection Program
- Trade Corridor Enhancement Program

**2. PARTIES AND DATE**

2.1 This Project Baseline Agreement (Agreement) for the *SOL-12 3R Roadway Rehabilitation (04-0J630)*, effective on, JANUARY 29, 2020 (will be completed by CTC), is made by and between the California Transportation Commission (Commission), the California Department of Transportation (Caltrans), the Project Applicant, *Caltrans*, and the Implementing Agency, *Caltrans*, sometimes collectively referred to as the "Parties".

**3. RECITAL**

- 3.2 Whereas at its March 22, 2018 meeting the Commission approved the State Highway Operation and Protection Program, and included in this program of projects the *SOL-12 3R Roadway Rehabilitation (04-0J630)*, the parties are entering into this Project Baseline Agreement to document the project cost, schedule, scope and benefits, as detailed on the Project Programming Request Form attached hereto as Exhibit A and the Project Report attached hereto as Exhibit B, as the baseline for project monitoring by the Commission.
- 3.3 The undersigned Project Applicant certifies that the funding sources cited are committed and expected to be available; the estimated costs represent full project funding; and the scope and description of benefits is the best estimate possible.

**4. GENERAL PROVISIONS**

The Project Applicant, Implementing Agency, and Caltrans agree to abide by the following provisions:

- 4.1 To meet the requirements of the Road Repair and Accountability Act of 2017 (Senate Bill [SB] 1, Chapter 5, Statutes of 2017) which provides the first significant, stable, and on-going increase in state transportation funding in more than two decades.
- 4.2 To adhere, as applicable, to the provisions of the Commission:
  - Resolution *Insert Number*, "Adoption of Program of Projects for the Active Transportation Program", dated
  - Resolution *Insert Number*, "Adoption of Program of Projects for the Local Partnership Program", dated
  - Resolution *Insert Number*, "Adoption of Program of Projects for the Solutions for Congested Corridors Program", dated
  - Resolution *Insert Number*, "Adoption of Program of Projects for the State Highway Operation and Protection Program", dated March 22, 2018
  - Resolution *Insert Number*, "Adoption of Program of Projects for the Trade Corridor Enhancement Program", dated

- 4.3 All signatories agree to adhere to the Commission's State Highway Operation and Protection Program, Guidelines. Any conflict between the programs will be resolved at the discretion of the Commission.
- 4.4 All signatories agree to adhere to the Commission's SB 1 Accountability and Transparency Guidelines and policies, and program and project amendment processes.
- 4.5 Caltrans agrees to secure funds for any additional costs of the project.
- 4.6 Caltrans agrees to report on a quarterly basis; after July 2019, reports will be on a semi-annual basis on the progress made toward the implementation of the project, including scope, cost, schedule, outcomes, and anticipated benefits.
- 4.7 Caltrans agrees to prepare program progress reports on a quarterly basis; after July 2019, reports will be on a semi-annual basis and include information appropriate to assess the current state of the overall program and the current status of each project identified in the program report.
- 4.8 Caltrans agrees to submit a timely Completion Report and Final Delivery Report as specified in the Commission's SB 1 Accountability and Transparency Guidelines.
- 4.9 All signatories agree to maintain and make available to the Commission and/or its designated representative, all work related documents, including without limitation engineering, financial and other data, and methodologies and assumptions used in the determination of project benefits during the course of the project, and retain those records for four years from the date of the final closeout of the project. Financial records will be maintained in accordance with Generally Accepted Accounting Principles.
- 4.10 The Transportation Inspector General of the Independent Office of Audits and Investigations has the right to audit the project records, including technical and financial data, of the Department of Transportation, the Project Applicant, the Implementing Agency, and any consultant or sub-consultants at any time during the course of the project and for four years from the date of the final closeout of the project, therefore all project records shall be maintained and made available at the time of request. Audits will be conducted in accordance with Generally Accepted Government Auditing Standards.

## 5. SPECIFIC PROVISIONS AND CONDITIONS

### 5.1 Project Schedule and Cost

See Project Programming Request Form, attached as Exhibit A.

### 5.2 Project Scope

See Project Report or equivalent, attached as Exhibit B. At a minimum, the attachment shall include the cover page, evidence of approval, executive summary, and a link to or electronic copy of the full document.

### 5.3 Other Project Specific Provisions and Conditions

## Attachments:

- Exhibit A: Project Programming Request Form
- Exhibit B: Project Report

SIGNATURE PAGE  
TO  
PROJECT BASELINE AGREEMENT  
SOL 12 3R Roadway Rehabilitation, EA 0J630


RESOLUTION SHOPP-P-1920-04B



\_\_\_\_\_  
Tony Tavares  
District Director  
California Department of Transportation

12/8/19

\_\_\_\_\_  
Date



\_\_\_\_\_  
Toks Omishakin  
Director  
California Department of Transportation

1/15/20  
Date



\_\_\_\_\_  
Susan Bransen  
Executive Director  
California Transportation Commission

1/30/2020  
Date

Baseline agreement information was extracted from Caltrans' project data systems. Project description, funding and performance measures are from CTIPS. Project delivery milestones are from PRSM. All information is current and accurate.

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

**BASELINE AGREEMENT**

Date: 12/13/19 10:41:43 AM

District	EA	Project ID	PPNO	Project Manager
04	0J630	0414000020	0480P	MAC, JASON
County	Route	Begin Postmile	End Postmile	Implementing Agency
SOL	12	20.6	26.4	Caltrans
				Caltrans
				Caltrans
				Caltrans

**Project Nickname**

Sol 12 3R

**Location/Description**

In and near Rio Vista, from Currie Road to Sacramento County line. Roadway rehabilitation. (G13 Contingency)

**Legislative Districts**

Assembly: 11 Senate: 03 Congressional: 03

**PERFORMANCE MEASURES**

	Primary Asset	Good	Fair	Poor	New	Total	Units
Existing Condition	Pavement		2.0	7.7		9.7	Lane-miles
Programmed Condition	Pavement	9.7				9.7	Lane-miles

**Project Milestone**

Project Approval and Environmental Document Milestone

Right of Way Certification Milestone

Ready to List for Advertisement Milestone

Begin Construction Milestone (Approve Contract)

Actual: 11/22/19, Planned: 01/01/22, 02/01/22, 08/01/22

**FUNDING (Allocated amounts are shaded)**

Component	Fiscal Year	SHOPP	Total
PA&ED	17/18	5,780	5,780
PS&E	19/20	5,780	5,780
RW Support	19/20	2,890	2,890
Const Support	21/22	11,560	11,560
RW Capital	21/22	2,983	2,983
Const Capital	21/22	73,200	73,200
<b>Total</b>		<b>102,193</b>	<b>102,193</b>

## Project Report

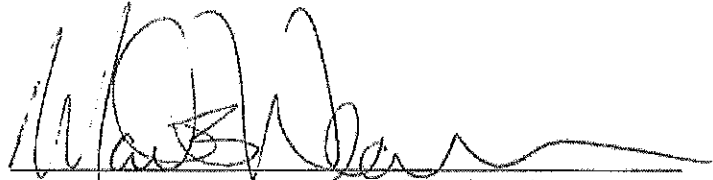
### *For Project Approval*

On Route 12

Between PM 20.57

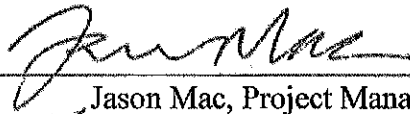
And PM 26.41

I have reviewed the right-of-way information contained in this report and the Right of Way Data Sheet attached hereto, and find the data to be complete, current and accurate:




Mark L. Weaver, Deputy District Director,  
Right of Way and Land Surveys

APPROVAL RECOMMENDED:



Jason Mac, Project Manager



Halim Mathkour, District Office Chief,  
Office of Design North Counties

APPROVED:

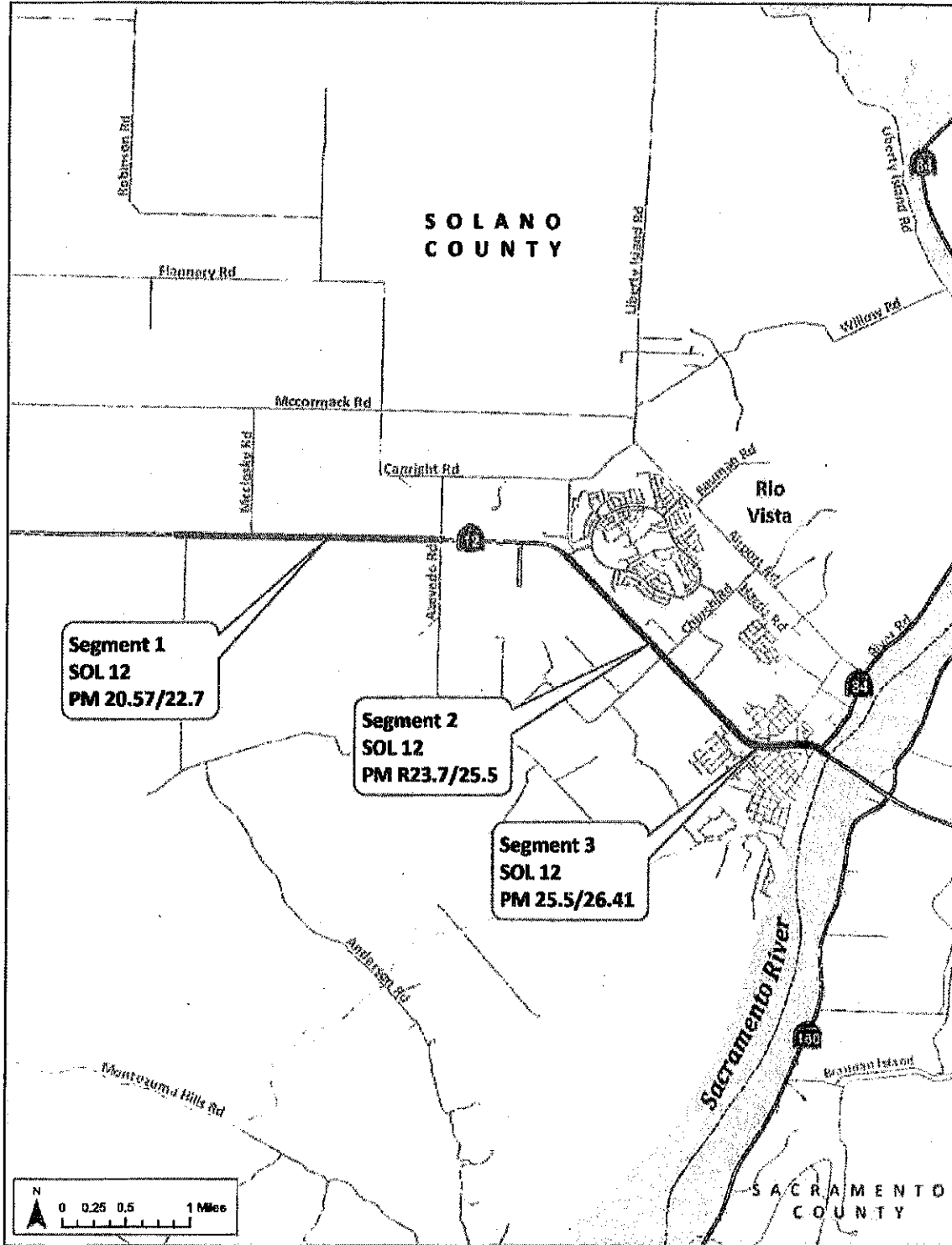


Helena (Lenka) Culik-Caro,  
Deputy District Director Design

November 22, 2019

Date

## Vicinity Map



**On Route 12 in Solano County near Rio Vista from Currie Road Intersection to Azevedo Road Intersection and from Summerset Road Intersection to County line**

This Project Report has been prepared under the direction of the following registered civil engineer. The registered civil engineer attests to the technical information contained herein and the engineering data upon which recommendations, conclusions, and decisions are based.

Hillal Hamdan  
*REGISTERED CIVIL ENGINEER*

9/26/2019  
DATE

