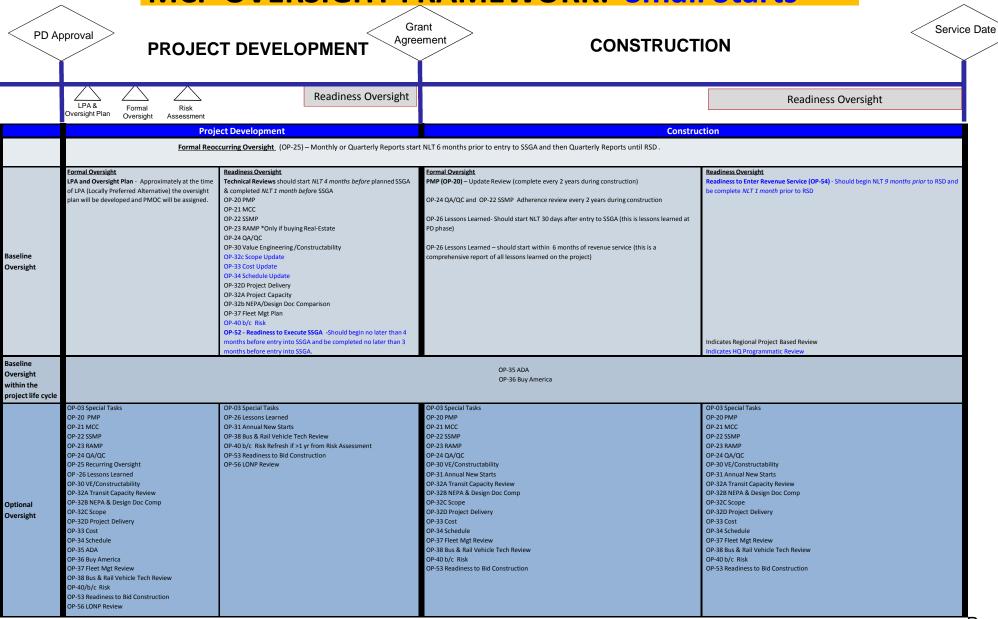
MCP OVERSIGHT FRAMEWORK: New Starts/Core Capacity

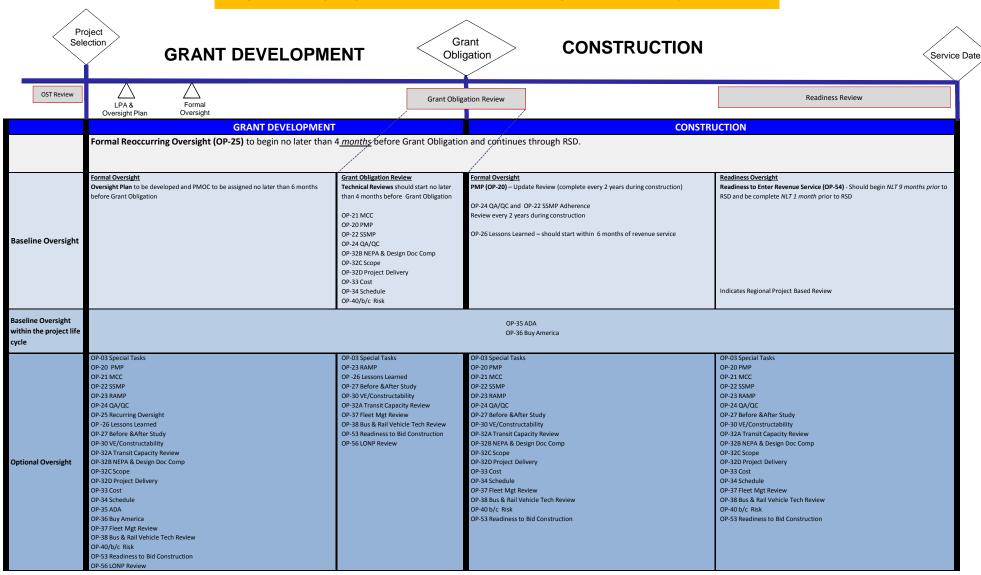
PD A	pproval PROJECT D	EVELOPMENT 2 Year Max	ng Approval		rant ement COI	NSTRUCTION Service
	LPA & Formal Oversight Oversight Plan	Readiness Oversight	Risk Assessment	Readiness Oversight		Readiness Oversight
		Development g Oversight (OP-25) – Monthly or Quarterly Repo	orts start NLT 6 months prior to entry	Engineering to Engineering and continue through FFGA. Quarterly		Construction
Baseline Oversight	Formal Oversight LPA and Oversight Plan - Oversight Plan will be developed and PMOC assigned NLT 9 months before entry to Engineering	Readiness Oversight Technical Reviews should start NLT 4 months before Engineering & completed NLT 1 month before Engineering approval OP-20 PMP OP-21 MCC OP-22 SSMP OP-23 RAMP *Only if buying Real-Estate OP-24 QA/QC OP-37 Fleet Mgt Plan OP-30 Value Engineering OP-32C Scope OP-33 Cost OP-34 Schedule OP-32D Project Delivery OP-32D NEPA/Design Doc Comparison OP-51 - Readiness to Enter Engineering Review - Begin NLT 2 months prior to engineering and complete NLT 1 month before entry to engineering	Formal Oversight OP-40 (Risk Assessment) – should start NLT 30 days after Entry to Engineering & completed NLT 6 months after approval to Enter Engineering OP-26 Lessons Learned - Should start NLT 30 days after entry to Engineering (this is lessons learned at PD phase) OP-20 PMP Update (if 2+yrs)	Readiness Oversight Technical Reviews Update of the following conducted NLT 6 months before entry to FFGA and completed NLT 3 months before entry to FFGA OP-20 PMP OP-21 MCC OP-22 SSMP OP-23 RAMP *Only if buying Real-Estate OP-24 QA/QC OP-37 Fleet Mgt Plan OP-30 Value Engineering OP-32 CScope OP-33 Cost OP-34 Schedule OP-40 Risk Review Update OP-30 Constructability OP-52 - Readiness to Execute FFGA - Begin NLT 4 months before entry to FFGA and be completed NLT 3 months before entry to FFGA	Formal Oversight PMP (OP-20) – Update Review (complete every 2 years during construction) OP-24 QA/QC and OP-22 SSMP Adherence review every 2 years during construction OP-26 Lessons Learned - Should start NLT 30 days after entry into FFGA (this is lessons learned at Engineering phase) OP-26 Lessons Learned – should start within 6 months of revenue service (this is a comprehensive report of all lessons learned on the project)	Readiness Oversight Readiness to Enter Revenue Service (OP-54) - Should begin NLT 9 months prior to RSD and be complete NLT 1 month prior to RSD Indicates Regional Project Based Review Indicates HQ Programmatic Review
saseline Oversight within the project life ycle				OP-35 ADA OP-36 Buy America		
Optional Oversight	OP-03 Special Tasks OP-20 PMP OP-21 MCC OP-22 SSMP OP-23 RAMP OP-24 QA/QC OP-25 Recurring Oversight OP-26 Lessons Learned OP-30 VE/Constructability OP-31 Annual New Starts OP-32 A Transit Capacity Review OP-328 NEPA & Design Doc Comp OP-32C Scope OP-32C Scope OP-32 Project Delivery OP-33 Cost OP-34 Schedule OP-35 Teleet Mgt Review OP-36 Buy America OP-37 Fleet Mgt Review OP-38 Bus & Rall Vehicle Tech Review OP-38 Bus & Rall Vehicle Tech Review OP-59 Rober Review OP-59 Rober Review OP-59 Rober Review OP-59 CopP Review	OP-03 Special Tasks OP-27 Before &After Study OP-30 Constructability OP-31 Annual New Starts OP-38 Bus & Rail Vehicle Tech Review OP-40 b/c Risk OP-53 Readiness to Bid Construction OP-56 LONP Review	OP-03 Special Tasks OP-20 PMP OP-21 MCC OP-22 SSMP OP-23 RAMP OP-24 QA/QC OP-26 Lessons Learned OP-77 Before &After Study- Should start NLT 30 days after entry to Engineering OP-30 VE/Constructability OP-31 Annual New Starts OP-32A Transit Capacity Review OP-32B NEPA & Design Doc Comp OP-32C Scope OP-32D Project Delivery OP-33 Cost OP-34 Schedule OP-37 Fleet Mgt Review OP-38 Bus & Rail Vehicle Tech Review OP-58 Readiness to Bid Construction OP-56 LONP Review	OP-03 Special Tasks OP-23 RAMP OP-26 Lessons Learned OP-27 Before &After Study OP-30 VE OP-31 Annual New Starts OP-32A Transit Capacity Review OP-32B NEPA & Design Doc Comp OP-32D Project Delivery OP-38 Bus & Rail Vehicle Tech Review OP-55 Readiness to Bid Construction OP-56 LONP Review	OP-03 Special Tasks OP-21 MCC OP-23 RAMP OP-27 Before & After Study- Should start NLT30 days after entry into FFGA OP-30 VE/Constructability OP-31 Annual New Starts OP-32A Transit Capacity Review OP-32B NEPA & Design Doc Comp OP-32C Scope OP-32D Project Delivery OP-33 Cost OP-34 Schedule OP-37 Fleet Mgt Review OP-38 Bus & Rail Vehicle Tech Review OP-40 b/c Risk OP-53 Readiness to Bid Construction	OP-03 Special Tasks OP-20 PMP OP-21 MCC OP-22 SSMP OP-23 RAMP OP-24 QA/QC OP-27 Before &After Study OP-32A Transit Capacity Review OP-32B NEPA & Design Doc Comp OP-32C Scope OP-33 CS Cope OP-34 Schedule OP-37 Fleet Mgt Review OP-38 Bus & Rail Vehicle Tech Review OP-40 b/c Risk

MCP OVERSIGHT FRAMEWORK: Small Starts



OVERSIGHT FRAMEWORK: Small Starts (Non MCP) Grant Service Date PD Approval Agreement CONSTRUCTION PROJECT DEVELOPMENT Readiness Oversight LPA & Risk Formal Oversight Plan Oversight Assessment **Project Development** Formal Reoccurring Oversight (OP-25) – Monthly or Quarterly Reports start NLT 6 months prior to entry to SSGA and continue until the signing of SSGA. Formal Oversight LPA and Oversight Plan - Approximately at the time Technical Reviews should start NLT 4 months before planned SSGA of LPA (Locally Preferred Alternative) the oversight & completed NLT 1 month before SSGA plan will be developed and PMOC will be assigned. OP-32c Scope Update OP-33 Cost Update Baseline OP-40 b/c Rick Oversight OP-52 - Readiness to Execute SSGA - Should begin no later than 4 months before entry into SSGA and be completed no later than 3 months before entry into SSGA. OP-03 Special Tasks OP-03 Special Tasks OP-20 PMP OP-20 PMP OP-20 PMP OP-20 PMP OP-21 MCC OP-21 MCC OP-21 MCC OP-21 MCC OP-22 SSMP OP-22 SSMP OP-22 SSMP OP-22 SSMP OP-23 RAMP OP-23 RAMP OP-23 RAMP OP-23 RAMP OP-24 QA/QC OP-24 QA/QC OP-24 QA/QC OP-24 QA/QC OP -26 Lessons Learned OP -26 Lessons Learned **OP-25 Recurring Oversight** OP-25 Recurring Oversight OP-30 VE/Constructability OP-30 VE/Constructability OP-30 VE/Constructability OP -26 Lessons Learned P-31 Annual New Starts Review (Scope, Cost and OP-32A Transit Capacity Review OP-30 VE/Constructability OP-31 Annual New Starts OP-32B NEPA & Design Doc Comp OP-32A Transit Capacity Review OP-32A Transit Capacity Review OP-32A Transit Capacity Review OP-32B NEPA & Design Doc Comp OP-32C Scope OP-32B NEPA & Design Doc Comp OP-32B NEPA & Design Doc Comp OP-32D Project Delivery OP-32C Scope OP-32C Scope OP-32C Scope OP-32D Project Delivery OP-33 Cost OP-32D Project Delivery Optional OP-32D Project Delivery OP-34 Schedule OP-33 Cost OP-33 Cost OP-33 Cost ΟΡ-35 ΔΠΔ OP-34 Schedule OP-34 Schedule OP-34 Schedule OP-36 Buy America OP-35 ADA OP-37 Fleet Mgt Review ΟΡ-35 ΔΠΔ OP-37 Fleet Mgt Review OP-36 Buy America OP-38 Rus & Rail Vehicle Tech Review OP-36 Buy America OP-38 Bus & Rail Vehicle Tech Review OP-37 Fleet Mgt Review OP-40 b/c Risk OP-37 Fleet Mgt Review OP-40/b/c Risk Refresh if >1 yr from Risk Assessment OP-38 Bus & Rail Vehicle Tech Review OP-53 Readiness to Bid Construction OP-38 Bus & Rail Vehicle Tech Review OP-53 Readiness to Bid Construction OP-40/b/c Risk OP-54 Readiness to Enter Revenue Service OP-40/b/c Risk OP-56 LONP Review OP-53 Readiness to Bid Construction OP-53 Readiness to Bid Construction OP-56 LONP Review OP-56 LONP Review Indicates Regional Project Based Review Indicates Regional Project Based Review dicates HQ Programmatic Review

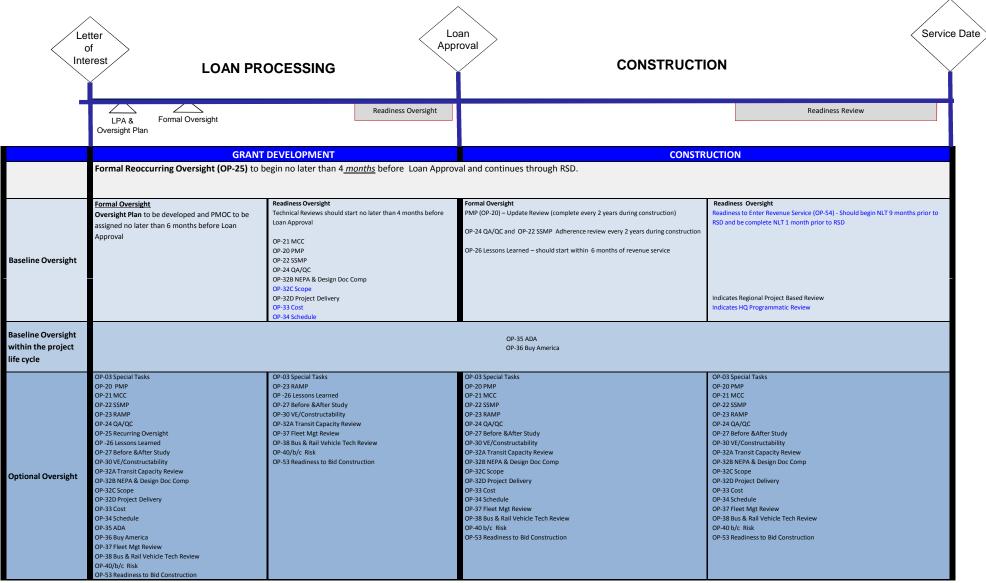
OVERSIGHT FRAMEWORK: TIGER



OVERSIGHT FRAMEWORK: SGR/Formula

Proj	ect ID GRAM	NT DEVELOPMENT	Gra Oblig	ant gation	CONSTR	Servi	ice Date
Apportionment	LPA & Formal		Grant Obligatio	Review		Readiness Review	
	Oversight Plan Oversight						
		DEVELOPMENT		CONSTRUCTION			
	Formal Reoccurring Oversight (OP-25) to	begin no later than 4 <u>mont</u>	<u>hs</u> before Grant Oblig	ation and continues	s through RSD.		
	Formal Oversight Oversight Plan to be developed and PMOC to be assigned no later than 6 months before Grant Obligation	Readiness Oversight Technical Reviews should start no I Grant Obligation OP-21 MCC OP-20 PMP	ater than 4 months before	OP-24 QA/QC and OP-22	eview (complete every 2 years during construction) 2 SSMP Adherence review every 2 years during construction - should start within 6 months of revenue service	Readiness Oversight Readiness to Enter Revenue Service (OP-54) - Should begin NLT 9 months prior to RSD and be complete NLT 1 month prior to RSD	ĺ
Baseline Oversight		OP-22 SSMP OP-24 QA/QC OP-32B NEPA & Design Doc Comp OP-32C Scope OP-32D Project Delivery OP-33 Cost OP-34 Schedule OP-40/b/c Risk				Indicates Regional Project Based Review	
Baseline Oversight within the project life cycle				OP-35 A OP-36 B	NDA Juy America		
Optional Oversight	OP-03 Special Tasks OP-20 PMP OP-21 MCC OP-22 SSMP OP-23 RAMP OP-24 OA/QC OP-25 Recurring Oversight OP-26 Lessons Learned OP-27 Before &After Study OP-30 VE/Constructability OP-30 VE/Constructability OP-32A Transit Capacity Review OP-32B NEPA & Design Doc Comp OP-32C Scope OP-32D Project Delivery OP-33 Cost OP-34 Schedule OP-35 ADA OP-36 Buy America OP-37 Fleet Mgt Review OP-38 Bus & Rail Vehicle Tech Review OP-40/b/c Risk OP-53 Readiness to Bid Construction	OP-03 Special Tasks OP-23 RAMP OP -26 Lessons Learned OP-27 Before &After Study OP-30 VE/Constructability OP-32A Transit Capacity Review OP-37 Flet Mgt Review OP-38 Bus & Rall Vehicle Tech Revie OP-53 Readiness to Bid Constructio		OP-03 Special Tasks OP-20 PMP OP-21 MCC OP-22 SSMP OP-23 RAMP OP-24 OA/QC OP-27 Before &After Stu OP-30 VE/Constructabilii OP-32A Transit Capacity OP-32B NEPA & Design D OP-32C Scope OP-32D Project Delivery OP-33 Cost OP-34 Schedule OP-37 Fleet Mgt Review OP-38 Bus & Rail Vehicle OP-40 b/c Risk OP-53 Readiness to Bid C	ty Review toc Comp Tech Review	OP-03 Special Tasks OP-20 PMP OP-21 MCC OP-22 SSMP OP-23 RAMP OP-24 OA/OC OP-27 Before &After Study OP-30 VE/Constructability OP-32A Transit Capacity Review OP-32B NEPA & Design Doc Comp OP-32C Scope OP-32D Project Delivery OP-33 Cost OP-34 Schedule OP-37 Fleet Mgt Review OP-38 Bus & Rail Vehicle Tech Review OP-40 b/c Risk OP-53 Readiness to Bid Construction	Pa

OVERSIGHT FRAMEWORK: TIFIA



OVERSIGHT FRAMEWORK: Hurricane Sandy

